

**APPLICATION FOR ACCOUNT OPENING/ MODIFICATION USING AADHAAR / E-KYC FOR CUSTOMERS**

To,  
The Compliance Officer  
C R Kothari and Sons Shares and Stock Brokers Private Limited (CRKSSBPL)  
2<sup>nd</sup> Floor, Citi Mall, New Link Road, Andheri West, Mumbai 400053

|        |  |  |  |  |  |  |  |  |  |            |  |  |  |  |  |      |                |  |  |  |  |  |  |  |  |  |
|--------|--|--|--|--|--|--|--|--|--|------------|--|--|--|--|--|------|----------------|--|--|--|--|--|--|--|--|--|
| UDN NO |  |  |  |  |  |  |  |  |  |            |  |  |  |  |  | Date | __ / __ / ____ |  |  |  |  |  |  |  |  |  |
| DP ID  |  |  |  |  |  |  |  |  |  | DP Account |  |  |  |  |  |      | Trading A/c    |  |  |  |  |  |  |  |  |  |

**Subject: Aadhaar - informed consent**

1. I voluntarily opt for Aadhaar OVD KYC or e-KYC or offline verification, and submit to the CRKSSBPL my Aadhaar number, Virtual ID, e-Aadhaar, XML, Masked Aadhaar, Aadhaar details, demographic information, identity information, Aadhaar registered mobile number, face authentication details and/or biometric information (collectively, "**Information**").
2. I am informed by the CRKSSBPL, that:
  - (i) submission of Aadhaar is not mandatory, and there are alternative options for KYC and establishing identity including by way of physical KYC with officially valid documents other than Aadhaar. All options were given to me.
  - (ii) For e-KYC/authentication/offline verification, CRKSSBPL will share Aadhaar number and/or biometrics with CIDR/UIDAI, and CIDR/UIDAI will share with CRKSSBPL, authentication data, Aadhaar data, demographic details, registered mobile number, identity information, which shall be used for the informed purposes mentioned in 3 below.
3. I authorise and give my consent to the CRKSSBPL (and its service providers), for following informed purposes:
  - (i) KYC and periodic KYC process as per the PML Act, 2002 and rules thereunder and RBI guidelines, or for establishing my identity, carrying out my identification, offline verification or e-KYC or Yes/No authentication, demographic or other authentication/verification/identification as may be permitted as per applicable law, for all accounts, facilities, services and relationships of/through the CRKSSBPL, existing and future.
  - (ii) collecting, sharing, storing, preserving Information, maintaining records and using the Information and authentication/verification/identification records: (a) for the informed purposes above, (b) as well as for regulatory and legal reporting and filings and/or (c) where required under applicable law;
  - (iii) enabling my account for Aadhaar enabled Payment Services (AEPS);
  - (iv) producing records and logs of the consent, Information or of authentication, identification, verification etc. for evidentiary purposes including before a court of law, any authority or in arbitration.
4. I understand that the Aadhaar number and core biometrics will not be stored/ shared except as per law and for CIDR submission. I have downloaded the e-Aadhaar myself using the OTP received on my Aadhaar registered mobile number. I will not hold the CRKSSBPL or its officials responsible in the event this document is not found to be in order or in case of any incorrect information provided by me.
5. The above consent and purpose of collecting Information has been explained to me in my local language.

|                |                                    |           |
|----------------|------------------------------------|-----------|
| Name of Client | Aadhaar No (Last Four Digits only) | Signature |
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